



PATENT APPLICATION
Docket No.: 14476.31.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
)
Ryan R. Berringer, et al.)
)
Serial No.: 09/875,756) Art Unit
) 2876
Filed: June 6, 2001)
)
Confirmation No.: 9539)
)
For: CREATING AND VERIFYING ELECTRONIC)
DOCUMENTS)
)
Examiner: Unassigned)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202
Sir:

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Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 28th day of November, 2001.

Respectfully submitted,



JOHN C. STRINGHAM
Attorney for Applicant
Registration No. 40,831



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PATENT TRADEMARK OFFICE

JCS:drb

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14476.31.1

In Re Application Of: **Ryan R. Berringer, et al.**

Serial No.
09/875,756

Filing Date
June 6, 2001

Examiner
Unassigned

Group Art Unit
2876

Title: **CREATING AND VERIFYING ELECTRONIC DOCUMENTS**



Address to:

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202
37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14476.31.1

In Re Application: **Ryan R. Berringer, et al.**

Serial No.

09/875,756

Filing Date

June 6, 2001

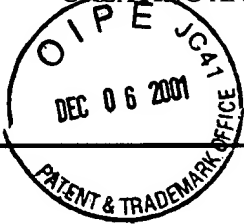
Examiner

Unassigned

Group Art Unit

2876

CREATING AND VERIFYING ELECTRONIC DOCUMENTS



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Signature of Person Mailing Correspondence

John C. Stringham

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Signature

John C. Stringham

Registration No. 40,831

Dated: Nov. 28, 2001



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CC:

Form PTO-1449

Sheet 1 of 2

Applicant: Ryan R. Berringer, et al

Serial No.: 09/875,756

Att'y Docket No.: 14476.31.1

Filing Date: June 6, 2001

Group: 2876

Confirmation No.: 9539

For: CREATING AND VERIFYING ELECTRONIC DOCUMENTS

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	5,812,669	09/22/98	Jenkins et al.	380	25	07/19/95
_____ A2.	6,032,137	02/29/00	Ballard	705	75	05/19/98
_____ A3.	6,021,202	02/01/00	Anderson et al.	380	25	12/19/97

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
NONE						

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner
Initial*

NONE

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Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 2

Applicant: **JOHN R. Berringer, et al**

Serial No.: 09/875,756

Att'y Docket No.: 14476.31.1

Filing Date: June 6, 2001

Group: 2876

Confirmation No.: 9539

For: CREATING AND VERIFYING ELECTRONIC DOCUMENTS

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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